



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/728,434	12/01/2000	Christopher Ryall Wallace	21748-706

021971  
WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 943041050

CONFIRMATION NO. 6086

## FORMALITIES LETTER



\*OC000000005674974\*

Date Mailed: 01/12/2001



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- o Total additional claim fee(s) for this application is \$240.
  - \$240 for 3 independent claims over 3 .
- o The oath or declaration is unsigned.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o The balance due by applicant is \$ 1080.

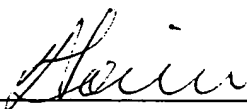
The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- o Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice MUST be returned with the reply.*

A handwritten signature in cursive script, appearing to read "Hein", is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/728,434	12/01/2000	Christopher Ryall Wallace	8349.0001-00

FINN GAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.  
1300 I Street, N.W.  
Washington, DC 20005

CONFIRMATION NO. 6086

## FORMALITIES LETTER



\*OC000000006058830\*

Date Mailed: 05/09/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, doesnot include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



0460 Sector  
65-15-01 7.

PATENT  
Customer No. 22,852  
Attorney Docket No.: 8349.0001-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Christopher Wallace

Serial No.: 09/728,434

Filed: December 1, 2000

For: METHOD FOR PROVIDING  
STREAMING MEDIA DATA  
NETWORK-BASED  
ENVIRONMENT

)  
)  
) Group Art Unit: 2152  
)  
) Examiner: Unassigned  
)  
)  
)  
)  
)

Attention: **BOX MISSING PARTS**  
Assistant Commissioner for Patents  
Washington, DC 20231

**RESPONSE TO NOTICE OF INCOMPLETE REPLY**

In response to the Notice of Incomplete Reply dated May 9, 2001, Applicants submit a copy of the Response to Notice to File Missing Parts that Applicants previously filed on March 12, 2001, which included the formal drawings still being solicited by the Notice of Incomplete Reply. A copy of a stamped postcard indicating receipt by the PTO on March 12, 2001, is also included.

Please associate the enclosed formal drawings with the application, grant any extensions of time required to enter this response, and charge any additional required fees to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By: Linda J. Thayer  
Linda J. Thayer  
Reg. No. 45,681

Dated: May 30, 2001

LAW OFFICES

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650-849-6600



PATENT  
Customer Number 22,852  
Attorney Docket No.: 8349.0001-00

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on March 12, 2001.

Signed: Mary A. McCauley  
Mary A. McCauley

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
 )  
Christopher Ryall Wallace ) Group Art Unit: 2152  
 )  
Serial No.: 09/728,434 ) Examiner: Unassigned  
 )  
Filed: December 1, 2000 )  
 )  
For: METHOD FOR PROVIDING )  
STREAMING MEDIA DATA IN A )  
NETWORK-BASED )  
ENVIRONMENT )

Attention: **BOX MISSING PARTS**  
Assistant Commissioner for Patents  
Washington, DC 20231

**RESPONSE TO NOTICE TO FILE**  
**MISSING PARTS OF APPLICATION**

In response to the Notice to File Missing Parts dated January 12, 2001, Applicant submits 10 Sheets of Formal Drawings (Figs. 1-9B) for filing in the above-identified application. Please associate the enclosed drawings with this application.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: March 12, 2001

By: Linda J. Thayer  
Linda J. Thayer  
Reg. No. 45,681

LAW OFFICES

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